



IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

First Named Inventor:	Jerome D. Johnson		
Serial No.:	09/566,029	Examiner:	Natalie Pass
Filed:	December 18, 2000	Group Art Unit:	3626
Docket:	O14-012-02-US	Customer No.	22,854
Title:	System and Method for Providing Configuration and Sales Information to Assist in the Development of Insurance Plans		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

Pursuant to 37 CFR §§ 1.97 and 1.98, Applicant in the above-identified application submits this Information Disclosure Statement, together with form(s) PTO/SB08/A and/or B enclosed herewith, to bring to the Examiner's attention documents of which Applicant is aware.

Applicant, by submitting the listed documents, does not represent any of the documents to be prior art to this application, and expressly reserves the right, under 37 CFR § 1.131, to establish otherwise. Applicant does not represent the listed documents to be material to the examination of the application, but has cited same to make it clear beyond all doubt that Applicant has complied with the Duty of Disclosure. Furthermore, this disclosure statement is not to be construed as a representation that a search has been conducted by Applicant or that no other material information exists. Copies of all foreign publications and/or non-U.S. patent documents, if any, are enclosed herewith.

06/16/2005 MWOLGE1 0000009 09739448

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180.00-0P

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO at 703-872-9306 or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Lise' D. Rediske

Signature Lise' D. Rediske

Date

6-13-05

Applicant requests that this submission be considered under 37 CFR 1.97(c), as it is being filed after the mailing date of a first office action on the merits, but prior to the mailing date of a final action or notice of allowance. As such, either (i) a statement under 37 CFR 1.97(e) is provided below; or (ii) a fee a required under 37 CFR 1.17(p) is enclosed.

Enclosed is the required fee under 37 CFR 1.17(p).

Applicant's counsel is unable to locate copies of several of the references, and is attempting to retrieve them from the patent office file histories in other patent applications by the same inventors. Copies will be forwarded once the missing copies are located.

REMARKS

Applicant requests that these documents be considered by the examiner and made of record in the present application under 37 CFR § 1.104.

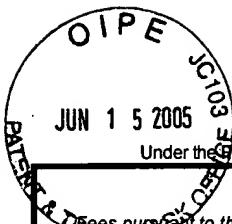
Respectfully submitted,

CAELUM IP, LLC  
By its attorneys/agents

MOORE, HANSEN & SUMNER, PLLP  
225 South Sixth Street  
Suite 4850  
Minneapolis, Minnesota 55402  
(612) 332-8200

Date: 13 June 2005

By Shawn B. Dempster  
Shawn B. Dempster, Registration No. 34,321



JUN 15 2005

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effective on 12/8/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2005

 Applicant Claims small entity status. See 37 CFR 1.27

## Complete if Known

Application Number	09/739,448
Filing Date	December 18, 2000
First Named Inventor	Jerome D. Johnson
Examiner Name	Natalie Pass
Art Unit	3626
TOTAL AMOUNT OF PAYMENT	(\$)

TOTAL AMOUNT OF PAYMENT (\$)

855.00

Attorney Docket No.

O14-012-02-US

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

 Deposit Account Deposit Account Number: 13-4300 Deposit Account Name: Moore, Hansen & Sumner, PLLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below  Charges fee(s) indicated below, except for the filing fee

 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

## 2. EXCESS CLAIM FEES

## Fee Description

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent

Fee (\$)

Fee (\$)

50

25

Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent

Fee (\$)

200

100

Multiple dependent claims

Fee (\$)

360

180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
42	- 20 or HP = 22	x \$25.00	= \$ 550.00			

HP = highest number of total claims paid for, if greater than 20

Fee (\$)

\$180.00

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
3	- 3 or HP = 0	x \$100.00	= \$ 0.00

HP = highest number of independent claims paid for, if greater than 3

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity)

for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41 (a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
14	- 100 = 0	/ 50 = 0 (round up to a whole number)	x \$125.00	= \$0.00

## 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Information Disclosure Statement (fee under 37 CFR 1.17(p))

\$180.00

## SUBMITTED BY

Signature		Registration No. 34,321 (Attorney/Agent)	Telephone 612-332-8200
Name (Print/Type)	Shawn B. Dempster		Date 13 June 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO		<b>Complete if Known</b>															
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<table border="1"> <tr> <td>Application Number</td> <td>09/739,448</td> </tr> <tr> <td>Filing Date</td> <td>December 18, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>Jerome D. Johnson</td> </tr> <tr> <td>Art Unit</td> <td>3626</td> </tr> <tr> <td>Examiner Name</td> <td>Natalie Pass</td> </tr> <tr> <td colspan="2">Attorney Docket Number</td> </tr> </table>				Application Number	09/739,448	Filing Date	December 18, 2000	First Named Inventor	Jerome D. Johnson	Art Unit	3626	Examiner Name	Natalie Pass	Attorney Docket Number	
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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>O I P INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>JUN 15 2005 (Use as many sheets as necessary)</small>		Application Number	09/739,448
		Filing Date	December 18, 2000
		First Named Inventor	Jerome D. Johnson
		Art Unit	3626
		Examiner Name	Natalie Pass
Sheet	2	of	6
Attorney Docket Number			

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<b>O I P</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <b>JUN 15 2005</b> <i>(Use as many sheets as necessary)</i>		<table border="1"> <tr> <td>Application Number</td> <td colspan="3">09/739,448</td> </tr> <tr> <td>Filing Date</td> <td colspan="3">December 18, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td colspan="3">Jerome D. Johnson</td> </tr> <tr> <td>Art Unit</td> <td colspan="3">3626</td> </tr> <tr> <td>Examiner Name</td> <td colspan="3">Natalie Pass</td> </tr> <tr> <td>Attorney Docket Number</td> <td colspan="3">O14-012-02-US</td> </tr> </table>				Application Number	09/739,448			Filing Date	December 18, 2000			First Named Inventor	Jerome D. Johnson			Art Unit	3626			Examiner Name	Natalie Pass			Attorney Docket Number	O14-012-02-US		
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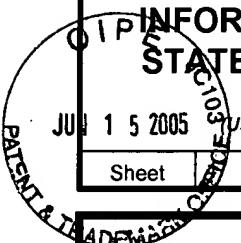
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				First Named Inventor	Jerome D. Johnson
				Art Unit	3626
				Examiner Name	Natalie Pass
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		Buick Epic Electronic product information center brochure	
		CWC Sales & Training Systems, copyright 1993, Clear With Computers, Inc. 26 pages	
		Caudron, Shari, Sales –force automation comes of age. (includes related article on how Hewlett-Packard Co. Computer Systems implemented technology enabled selling applications). Industry Week, May 1996 Vol. 245 No. 10 pp 146.	
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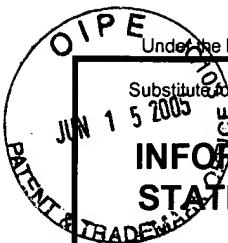
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Art Unit	3626
Examiner Name	Natalie Pass

Attorney Docket Number O14-012-02-US

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	From Internet archive Wayback Machine, <a href="http://web.archive.org/">http://web.archive.org/</a> , searching pages of <a href="http://www.cadence.com/">http://www.cadence.com/</a> & <a href="http://www.microsim.com">http://www.microsim.com</a> .
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JUN 15 2005 (Use as many sheets as necessary)		<i>Filing Date</i>	December 18, 2000
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		<i>Art Unit</i>	3626
		<i>Examiner Name</i>	Natalie Pass
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